

2018 BSA/AML Conference

FEBRUARY 21 & 22, 2018 • 8:30 A.M. - 4:00 P.M.

WESTIN HOTEL • 407 CONGRESS STREET • JACKSON, MS • (601) 968-8200

Day 1 - February 21 BSA/AML Compliance Management

This is our flagship BSA/AML training event. The program incorporates a review of all of your institution's BSA's technical compliance responsibilities; it is the annual review everyone needs to remember their critical duties. It also reviews the required elements of your AML program from CIP to enhanced due diligence.

Program content incorporates recent changes and reviews major proposed changes.

Topics Covered

The presentation is a comprehensive overview of BSA/AML compliance issues. The new "Due Diligence" regulations with mandatory compliance by May, 2018 will be covered. Regulations require every bank to have an ongoing Bank Secrecy Act training program and examiners routinely ask for evidence of recent training - even for experienced BSA personnel.

Coverage includes:

- BSA/AML Resources
- Bank Regulatory Agency Role
- Currency Transaction Reporting
- Exemptions from Currency Transaction Reporting
- Record Retention
- Information Sharing 314(a) & 314(b)
- Suspicious Activity Reporting
- NBFIs & MSB's
- Anti-money laundering
- Customer Identification Program
- Customer Due Diligence
- Enhanced Customer Due Diligence

Seminar Instructor

Ken Golliher is a principal with Pegasus Educational Services, LLC, a training firm headquartered in Louisville, Kentucky. Prior to becoming a full time trainer, he was a community banker and then the General Counsel for a regional consulting firm for financial institutions. He has presented seminars and compliance schools in more than 25 states. He serves as an administrator for BSA/AML compliance schools sponsored by the Florida, Indiana, Iowa, Kentucky, Louisiana, Michigan, Tennessee, Texas, and Wisconsin bankers associations.

Why Attend this Conference:

- BSA is one of the few regulations where compliance is so critical it is classified as a "safety and soundness" issue.
- Regulations require every bank to have an ongoing Bank Secrecy Act training program and examiners routinely ask for evidence of recent training – even from experienced BSA personnel.
- SAR filing requirements are one of the most difficult compliance responsibilities your institution has.

Who Should Attend:

The program is designed for personnel who have responsibility for maintaining or auditing Bank Secrecy Act compliance efforts.

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Day 2 - February 22 Hot Topics in BSA/AML Compliance

BSA/AML compliance is a never ending process. What worked fine last year may be completely inadequate this year. This program is designed to assist experienced personnel in staying ahead of the BSA/AML compliance steamroller. It focuses on only a few issues.

What Happened Since Last Year? This is a fast-paced review of the good, the bad, and the ugly BSA/AML compliance milestones we all passed in the last 12 – 18 months. The presentation offers insights about how these developments may or may not affect your day-to-day compliance efforts. It covers the major enforcement actions, BSA reporting trends, proposed regulations, notices of proposed rulemaking, and interagency guidance.

CDD Implementation: Where are we? Where should we be? Banks will be only a few months away from mandatory compliance with the new regulations; i.e. it's crunch time. Some will say they have fully implemented the new requirements. Others will just be beginning. This presentation will work through a "to do" list so you can check your progress. Attendees will be asked to share their experience and their challenges in implementation so far.

Cyber SARs: Recent FinCEN guidance emphasizes bank responsibility for reporting email fraud, computer intrusion and cyber events in general. This session reviews that guidance and also discusses proposed revisions to the Suspicious Activity Report (SAR) that will greatly expand the details required in SAR filings on this type of activity. The content of this session focuses on SAR filing, not the mechanics or terminology involved in cyber events.

While You're At It: The new due diligence regulation requires a board approved revision of your BSA/AML program. This session goes beyond what is required and makes some significant, logical suggestions for how you might use the opportunity to take a fresh look at your overall program and upgrade it to anticipate regulatory examinations.

BSA/IRS Compliance and Non US Persons: Clearly, your institution must identify and be able to provide a list of its resident and nonresident alien customers. CIP requires that you verify name, TIN, identifying number and date of birth for all customers and it's at that point things get a bit difficult. This presentation addresses the specific BSA and IRS compliance responsibilities for this class of customer and gives some helpful tips in decision making.

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- SAR filing requirements are one of the most difficult compliance responsibilities your institution has.

Who Should Attend:

The program is designed for experienced personnel who have responsibility for maintaining or auditing Bank Secrecy Act or Anti-Money Laundering compliance efforts. It does not include a review of BSA basics.

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Registration Information

- MBA Members: \$475
- Non-Members: \$950

Registration fees include instruction, materials, refreshment breaks and lunch. Hotel reservations are NOT included in registration fees.

Cancellation Policy

Register before February 14, if possible. Full registration fees will be refunded if a cancellation is received on or before February 14, 2018. After that date, a \$50 processing fee will be retained. No refunds will be granted for cancellations made the day of the conference. Substitutions are welcome at no charge.

Hotel Information

Hotel reservations may be made by calling the Westin at 601-968-8200. Please reference the **Mississippi Bankers Association** when making your reservation to get the special rate of \$159. This group rate has been reserved for your convenience until January 20, 2018 or until the room block is full. The attendee is responsible for room payment including taxes and expenses.

More Information

Dress for the seminar is business casual. We recommend that you bring a light sweater or jacket in the instance the room is cool. Registration will begin at 8:30 a.m. on Tuesday, February 21. If you need additional information, please contact Lori at the MBA Office by calling 601-948-6366 or by emailing lrichardson@msbankers.com.

PLEASE DUPLICATE FORM FOR EACH REGISTRANT

Bank/Company Name: _____ Phone Number: _____

Registrant Name: _____

Bank Address: _____

Email Address: _____

(SEE REGISTRATION INFORMATION ABOVE FOR FEE BREAKDOWN)

\$475 FOR MBA MEMBER

\$950 FOR NON-MEMBER

Each individual registration will receive a confirmation of registration via email or fax. Please contact the MBA at (601) 948-6366 if you do not receive a confirmation within 48 hours.

TO REGISTER: Scan & Email to lrichardson@msbankers.com.

The Mississippi Bankers Association would like to take this time to recognize our MBA PROGRAM PARTNERS, listed below, that provide support to the MBA for a wide-range of events throughout the year.

Please take a moment to thank representatives of these companies when you get the chance!

For more information about Program Partners, contact
Brooke McCoy, MBA marketing manager, bmccoy@msbankers.com



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